

FORM A

Federal Reserve Bank Of Minneapolis

CONFIDENTIAL FINANCIAL DISCLOSURE REPORT

Reporting Status <i>(Check Appropriate Box)</i>	<input checked="" type="checkbox"/> Incumbent <input type="checkbox"/> New Filer <input type="checkbox"/> Termination Filer	Calendar Year Covered By Report 2018	Date of Employment January 1, 2016	Termination Date <i>(if applicable)</i>	<p style="text-align: center;">INTERNAL-FR Reporting Periods</p> <p>Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination.</p> <p>New Filers: Schedule A-The reporting period is the preceding calendar year and the current calendar year up to the date of filing.</p> <p>Schedule B-Not applicable.</p> <p>Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing.</p> <p>Schedule C, Part II (Agreement or Arrangement)-Show any agreement or arrangement as of the date of filing.</p> <p>Schedule D-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p>
Last Name Kashkari		First Name and Middle Initial Neel T.			
Position President			Telephone No. <i>(Include area Code)</i> <div style="background-color: black; width: 100px; height: 20px;"></div>		
Certification	Signature of Reporting Individual <div style="background-color: black; width: 200px; height: 40px;"></div>		Date June 1, 2019		
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.					
Ethics Officer's Review	Signature of Ethics Officer <div style="background-color: black; width: 200px; height: 40px;"></div>		Date 6-26-19		
I certify that I have reviewed the information contained in this report. <div style="background-color: black; width: 40px; height: 20px; display: inline-block;"></div> 6-26-2019					
Comments of Ethics Officer <i>(If additional space is required, use the reverse side of this sheet)</i>					

(Check box if comments are continued on the reverse side)

Assets and Income			Value of Assets at close of reporting period						Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that time.																
BLOCK A			BLOCK B						BLOCK C																
Identify each asset held for the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period. See the instructions for special rule for reporting an interest in a depository institution and other entities regardless of value. Identify each asset or source of income which generated over \$200 in income during the reporting period. None <input type="checkbox"/>			OWNER	None (or less than \$1,000)	\$1,001 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Type							Amount					Date (Mo., Day, Yr.) Only if Honoraria			
										Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other (Specify Type)	None (or Less than (\$201)	\$201 - \$1,000	\$1,001 - \$25,000	\$25,001 - \$150,000		\$150,001 - \$500,000	Over \$500,000	
Examples:	Central Airlines Common	S		X					X									X							
	Don Jones & Smith, Hometown, USA	E																							
	Kempstone Equity Fund	E			X							X							X						
1.	PNC Savings Account				X							X						X							
2.	Vanguard Target 2035 Retirement Fund (VTTHX) – brokerage account			X														X							
3.	Vanguard Target 2035 Retirement Fund (VTTHX) – IRA				X													X							
4.	Vanguard 500 Index Fund (VFIAX) – IRA				X													X							
5.	Vanguard International Index Fund (VTIAX) – IRA				X													X							
6.	Bank of America Checking Account				X							X						X							
7.	Federal Reserve Thrift & Deferred Comp Plans: Target Date Portfolios				X													X							
8.	Schwab money market fund		X									X						X							

9. *	CK Communications LLC (Spouse's MN LLC)																		Contract work for Bloomberg BNA			X						
10. †	Carlson Wagonlit Travel																			Spouse's full-time employer				X				
11. ‡	LifePath Index 2035 Fund (Spouse's 401k with Bloomberg)		X																		X							
12.	Target Date 2040 Fund (Spouse's 401k with Carlson via Schwab)	X																			X							
13. *	Bank of America (Spouse's checking account)	X							X												X							
14. †	Bank of America (Spouse's savings account)	X							X												X							
15. ‡	AXA Equitable Life Insurance payout for Chaman Kashkari (father)																							X				
16. ¶	Protective Life Insurance payout for Chaman Kashkari (father)																						X					
17. ~	URAKHCHIN v. Allianz Asset Management Settlement																			Settlement to all holders of a mutual fund.		X						

Form A (May 2005)

Note: for the mutual funds in 401ks / IRAs, for which there are no current distributions, I indicated no income received.

New Filer (Schedule Not Applicable)

Part I: Transactions None

Identification of Assets		O W N E R	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount Transaction (x)					Required divestiture
			Purchase	Sale	Exchange		\$1,001- \$50,000	\$50,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	Over \$1,000,000	
Example:	Central Airlines Common	S	x			2/1/93	x					
1.	401k rollover – Treasury TSP to Vanguard IRA (sold: F and G Funds into VTTHX)	S			X	2/21/18		X				
2.	401k rollover – Schwab/Allianz to Vanguard IRA (sold: PAAIX and PAUIX into VTTHX)	S			X	6/18/18		X				
3.	Vanguard Target 2035 Retirement Fund VTTHX (via Schwab brokerage account)	S	X			6/28/18	X					
4.	Vanguard Target 2035 Retirement Fund VTTHX (via Schwab brokerage account)	S		X		12/4/18	X					
5.	Vanguard Target 2035 Retirement Fund VTTHX (initiated automatic monthly purchase via Vanguard brokerage account)	S	X			7/15/18 (ongoing)	X					
6.	Schwab money market fund	S		X		12/6/18		X				
7.	Automatic exchange of Federal Reserve thrift plan from Aggressive Asset Allocation to 2040 Target Date fund	S			X	7/2/2018		X				
8.	(This statement excludes the automatic contributions to my and my wife's employer-provided 401k plans)											
9.												
10.												

Part II: Gifts

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from relatives, gifts valued at \$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions. None

Source (Name and Address)	Brief Description	Value
1.		

2.			
3.			
4.			
5.			
6.			

Part I: Liabilities			None <input checked="" type="checkbox"/>		Category of Amount of Value (x)						
					0-\$50,000	\$50,001-\$150,000	\$150,001-\$500,000	\$500,001-\$1,000,000	Over-\$1,000,000		
Creditor (Name and Address)			Type of Liability		DEBTOR	ID-RSSD					
Example:	First District Bank, Washington, DC		Mortgage on rental property, Delaware				J		x		
1.											
2.											
3.											
4.											
5.											
6.											
7.											
8.											

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than offered to a person not employed by the Bank? Yes No If so, explain.

Part II: Agreements or Arrangements

Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. This part applies only to the person filing the report. See instructions regarding the reporting of negotiations for any of these arrangements or benefits. None

Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/93 and retained pension benefits (independently managed, fully funded, defined contribution plan)	Doe Jones & Smith, Hometown, USA	7/85
1.			

Reporting Individual's Name
Neel Kashkari

FORM A - SCHEDULE C

Calendar Year Covered
2018

Page 7 of 9

2.			
3.			
4.			
5.			
6.			

Part I: Positions Held Outside the Federal Reserve Bank

None

Report any position held by you during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm, partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature.

Also report any position held by your spouse, a child, parent, or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.

Organization (Name and Address)		Holder	Type of Organization	Position	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Mo. Bar Assoc., Jefferson City, MO	E	Professional	Director	9/93	Present
	ABC Bank, Hometown, USA	Brother	Bank	Loan Officer	7/85	Present
1.	Economic Club of Minnesota, Minneapolis, MN	E	Non-profit	Director	7/16	Present
2.	Twin Cities PBS, St. Paul, MN	E	Non-profit	Member, President's Council	6/16	5/18
3.	Aspen Economic Strategy Group, Aspen, CO	E	Non-profit	Member	8/17	Present
4.	Forum Advisory Group for Reimaging Minnesota State	E	Non-profit	Co-chair	9/18	Present
5.						
6.						
7.						
8.						
9.						
10.						
11.						

Reporting Individual's Name
Neel Kashkari

FORM A - SCHEDULE D

Calendar Year Covered
2018

Page 9 of 9

Part II: Other Situations

Describe any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.

None